

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert J. Small and Xiaowei Shang  
Assignee: EKC Technology, Inc.  
Title: Chemical-Mechanical Planarization Using Ozone  
Serial No.: 09/783,069 Filing Date: February 13, 2001  
Examiner: unknown Group Art Unit: unknown  
Docket No.: M-9727 US

San Francisco, California  
December 18, 2001

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

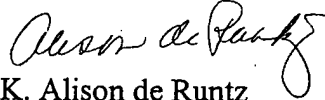
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Respectfully submitted,

  
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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-9727 US		09/783,069	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Robert J. Small and Xiaowei Shang			
					Filing Date		Group	
					February 13, 2001		unknown	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	6,143,673	Nov. 7, 2000	Jang et al.				
	AB	6,124,210	Sep. 26, 2000	Chino et al.				
	AC	6,123,602	Sep. 26, 2000	Rodriguez et al.				
	AD	6,115,862	Sep. 12, 2000	Cooper et al.				
	AE	6,110,431	Aug. 29, 2000	Dunder				
	AF	6,106,788	Aug. 22, 2000	Rau et al.				
	AG	6,100,198	Aug. 8, 2000	Grieger et al.				
	AH	6,072,226	Jun. 6, 2000	Thakur et al.				
	AI	6,037,251	Mar. 14, 2000	Tu et al.				
	AJ	5,957,759	Sep. 28, 1999	Cardenas et al.				
	AK	5,926,722	Jul. 20, 1999	Jang et al.				
	AL	5,869,394	Feb. 9, 1999	Chen et al.				
	AM	5,869,384	Feb. 9, 1999	Yu et al.				
	AN	5,858,830	Jan. 12, 1999	Yoo et al.				
	AO	5,857,893	Jan. 12, 1999	Olson et al.				
	AP	5,837,612	Nov. 17, 1998	Ajuria et al.				
	AQ	5,800,251	Sep. 1, 1998	Nakazato et al.				
	AR	5,672,539	Sep. 30, 1997	Thakur et al.				
	AS	5,270,241	Dec. 14, 1993	Dennison et al.				
	AT	5,162,248	Nov. 10, 1992	Dennison et al.				
	AU	4,059,929	Nov. 29, 1977	Bishop				
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AV	08255791 (abstract)	Oct. 1, 1996	Japan				
Examiner			Date Considered					
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								